UGRD_YPHSL_2620_LAW4071_DOMINIC LIEW

Singapore Management University

COURSE INFORMATION

Course Code & Title
LAW4071 - Banking and Finance in Legal Practice

Effective Start Date
11/01/2027

Offering Unit / Department / School
YPHSL

Course Career
Undergraduate

Course Units

CU:

1

Grading Basis
GRD - Graded

Course Description
This course aims to provide students with an introduction to the legal practice of banking and finance. In particular, this course is designed to give students a flavour of what life is like as a transactional lawyer working on a general banking transaction.

Over the weeks, students will be walked through the lifecycle of a fictitious watered-down financing transaction. As the transaction progresses, students will gradually be introduced to the various financing legal documents (eg, term sheets, loan facility agreements, corporate authorisations) and their key clauses. Crucially, students will also step into the shoes of lender's counsel and be given several opportunities to "hold the pen" – students will be tasked to take instructions from their clients and draft/review certain financing legal documents. Finally, students will participate and lead an all-parties call to iron out the outstanding issues blocking the transaction from closing.

Through this course, students will have the opportunity to both gain insights on transactional lawyering, as well as learn practical skills that will better prepare students to transition to their upcoming lives as practice trainees and junior associates. Moreover, while this course is conducted in the context of banking and finance, the practical skills taught in this course will nonetheless be largely applicable to other fields of transactional law, including but not limited to mergers and acquisitions, investment funds, capital markets etc.

Course Learning Outcomes
At the end of this course, students will be able to:

SMU Graduate Learning Outcomes
Interdisciplinary knowledge

Competencies
Business Negotiation, Corporate and Business Law Application, Legal Drafting and Writing

Course Area(s)
AREA - Business Options, AREA - Finance Electives, AREA - Law Electives, AREA - Legal Studies Electives, ENRL - SS Enrolment: 6 ISEP; 1 SUSEP, RQCP - LAW101_601&LAW102_602/LGST101, RQCP - LAW201_621/LGST201, TRCK - Law: Corporate Transaction Track

Simple Requirements

(LAW201_621/LGST201) & ((LAW101_601&LAW102_602)/LGST101) - Pre-req

Type
Prerequisite

Fulfill ALL of the following requirements:

(LAW201_621/LGST201) & ((LAW101_601&LAW102_602)/LGST101) - Pre-req

Complete ANY of the following Courses:

- LAW201_621 - Corporate Law

- LGST201 - Company Law

AND

(LAW201_621/LGST201) & ((LAW101_601&LAW102_602)/LGST101) - Pre-req

Fulfill ANY of the following requirements:

Complete ALL of the following Courses:

- LAW101_601 - Contract Law 1
- LAW102_602 - Contract Law 2

OR

Complete ALL of the following Courses:

- LGST101 - Business Law

CLASS SECTION INFORMATION

Instructor(s)
LIEW DOMINIC JUNIOR LIEW (dominicliew@smu.edu.sg), CHARLES MCCONNELL - (cmcconnell@smu.edu.sg)

Instruction Mode
In Person

Assessment Components (New)

Category*	Weightage*
Class Participation	20

Individual or Group?*	With Writing Component?*	With Oral Component?*
Individual	No	Yes

Category*	Weightage*	Assessment/Submission Period*
Projects/Assignments	15	Week 11

Description	Individual or Group?*	With Writing Component?*	With Oral Component?*
Written Assignment (Individual)	Individual	Yes	No

Category*	Weightage*	Assessment/Submission Period*
Proctored Assessments	20	Week 7

Description	Individual or Group?*	With Writing Component?*	With Oral Component?*
Quizzes (2 quizzes - Week 7 & Week 10)	Individual	No	No

Category*	Weightage*	Assessment/Submission Period*
Projects/Assignments	45	Week 13

Description	Individual or Group?*	With Writing Component?*	With Oral Component?*
Transaction Simulation	Group	Yes	Yes

Instructional Methods and Expectations
ASSESSMENT METHODS

Class Participation	20%
Written Assignment	15%
Quizzes	20%
Transaction Simulation	45%

No questions from past year papers or published test banks will be used verbatim for the graded assessments in this course.

COURSE METHODS

The course will be taught through interactive seminars; students are expected to participate actively in class discussions. The course also adopts a hands-on approach, simulating assignments that practice trainees and junior associates in various fields of transactional law will be expected to work on. Guest lecturers may be invited to provide industry insights after recess week, subject to work exigencies.

Weekly Lesson Plan
CLASS SCHEDULE

Week	Topics
1	Introduction to Banking & Finance
2	Term Sheets Commitment Letters
3	The Facility Agreement: Part I

4	The Facility Agreement: Part II
5	The Facility Agreement: Part III
6	The Facility Agreement: Part IV
7	Security Documents
8	**RECESS WEEK**
9	Constitutional Documents Corporate Authorisation Documents
10	Other Ancillary Documents Cross-Border Banking & Finance
11	Artificial Intelligence & Banking & Finance
12	Transaction Simulation
13	Transaction Simulation

Note: The above syllabus/schedule is meant only as a guide and is subject to amendment.

Recommended Textbooks and Readings
N/A

Other Information
DROPPING A COURSE

Students are reminded to follow the dates and procedures of add/drop modules found in https://smu.sharepoint.com/sites/oasis/SitePages/RO/All-About-BOSS.aspx#parentHorizontalTab5UG and JD students need to drop the electives via BOSS unless otherwise instructed by the School for specific electives (e.g. Moots).

Students who fail to follow the correct procedures and stipulated timelines for dropping their modules will obtain a “W’ or “F’ grades as indicated on OASIS.

Do note that a “W” and ‘F’ grade is reflected **permanently** on a student’s transcript.

UNIVERSITY POLICIES

Academic Integrity

All acts of academic dishonesty (including, but not limited to, plagiarism, cheating, fabrication, facilitation of acts of academic dishonesty by others, unauthorized possession of exam questions, or tampering with the academic work of other students) are serious offences.

All work (whether oral or written) submitted for purposes of assessment must be the student's own work. Penalties for violation of the policy range from zero marks for the component assessment to expulsion, depending on the nature of the offence.

When in doubt, students should consult the instructors of the course. Details on the SMU Code of Academic Integrity may be accessed at https://smu.sharepoint.com/sites/oasis/SitePages/DOS-WKLSWC/UCSC.aspx.

Responsible Use of AI

The University recognises the importance of equipping students with the skills to navigate an AI-driven world. While AI tools may support learning, they must be used judiciously and responsibly. Students must not present AI-generated output as their own work.

Each course may set specific guidelines on the use of AI in assessments. Students are required to follow these guidelines carefully and should seek clarification from the instructors if uncertain.

Misuse of AI constitutes a breach of academic integrity and will be subject to the same penalties as other acts of academic dishonesty.

Copyright Notice

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Accessibility

SMU strives to make learning experiences accessible for all. Students who anticipate or experience physical or academic barriers due to disability are encouraged to inform the course instructor as soon as possible. Students may also contact the university's disability services team if they have questions or concerns about academic provisions: accessibility@smu.edu.sg. Please be aware that the accessible tables in our seminar room should remain available for students who require them.

Digital Readiness for Teaching and Learning (DRTL)

As part of emergency preparedness, instructors may conduct lessons online via the Zoom platform during the term, to prepare students for online learning. During an actual emergency, students will be notified to access the Zoom platform for their online lessons. The class schedule will mirror the current face-to-face class timetable unless otherwise stated.